

Comparison of singular spectrum analysis forecasting algorithms for student's academic performance during COVID-19 outbreak

Muhammad Fakhrullah Mohd Fuad¹, Shazlyn Milleana Shaharudin^{1*}, Shuhaida Ismail², Nor Ain Maisarah Samsudin¹ and Muhammad Fareezuan Zulfikri¹

Universiti Pendidikan Sultan Idris, Department of Mathematics, Faculty of Science and Mathematics, Malaysia¹

Department of Mathematics and Statistics, Faculty of Applied Sciences and Technology, Universiti Tun Hussein Onn Malaysia, Malaysia²

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Abstract

Due to the spread of COVID-19 that hit Malaysia, all academic activities at educational institutions including universities had to be carried out via online learning. However, the effectiveness of online learning is remains unanswered. Besides, online learning may have a significant impact if continued in the upcoming academic sessions. Therefore, the core of this study is to predict the academic performance of undergraduate students at one of the public universities in Malaysia by using Recurrent Forecasting-Singular Spectrum Analysis (RF-SSA) and Vector Forecasting-Singular Spectrum Analysis (VF-SSA). The key concept of the predictive model is to improve the efficiency of different types of forecast model in SSA by using two parameters which are window length (L) and number of leading components (r). The forecasting approaches in SSA model was based on the Grading Point Assessments (GPA) for undergraduate students from Faculty Science and Mathematics, UPSI via online classes during COVID-19 outbreak. The experiment revealed that parameter $L=11$ ($T/20$) has the best prediction result for RF-SSA model with RMSE value of 0.19 as compared to VF-SSA of 0.30. This signifies the competency of RF-SSA in predicting the students' academic performances based on GPA for the upcoming semester. Nonetheless, an RF-SSA algorithm should be developed for higher effectivity of obtaining more data sets including more respondents from various universities in Malaysia.

Keywords

Prediction, Academic performance, COVID-19, Online learning, Singular spectrum analysis, Recurrent forecasting.

1.Introduction

On 31 December 2019, the Wuhan Municipal Health Commission wrote in their website about the discovery of the novel corona virus which was later confirmed by the World Health Organization (WHO) that the virus originated from Republic of China. The contagious virus namely COVID-19 can cause respiratory problems to humans infected by it. Since then, almost all countries around the world have had cases of this virus. Malaysia's first case of COVID-19 was detected on January 24, 2020 [1]. Until November 9, 2020 the total number of confirmed cases are 50,030,121 that infected in 219 countries [2].

As a precaution in the dangerous virus spreading control, Tan Sri Muhyiddin bin Yassin, Malaysia's prime minister has imposed the Movement Control Order (MCO) from 18th of March, 2020 until year end. This order strictly prohibits Malaysians from engaging in any religious, sports, social and cultural activities involving many people.

Due to the MCO, all educational institutions such as preschools, primary schools, secondary schools, and higher educational institutions had to be temporarily closed. On May 27, 2020, Malaysian Ministry of Higher Education (MOHE) recommended that all higher educational institutions to continue teaching and learning activities via online platforms until the end of 2020 [3]. Online learning is any form of teaching and learning delivered through digital technology such as Google Meet, MOOC, Zoom, YouTube and etc [4]. Teaching and learning

*Author for correspondence

materials presented using these platforms have visual graphics, words, animation, video or audio to ensure the students have access to knowledge.

In Malaysia, online learning is completely rare except for blended learning which is a combination of offline and online learning. However, online learning had to be carried out entirely at all universities in Malaysia and has been going on for almost a year since the MCO was enforced. With this online learning, some of the deficiencies that can be identified which includes lack of self-motivation to learn, poor time management, unfit online learning environment, family issues [5] and various technical problems. These factors are said to cause the student's academic performance to decline and thus will affect their future. However, to what extent can the validity of these factors cause the students' academic performance to drop? There are several studies related to educational studies that highlighted COVID-19. Students in the medical field such as the radiology trainee, medical students and dental students are among those who had a huge impact on their study because they need to focus more on practical-based learning more than the theory [6–11]. There are various predictive model types that use analytics models in assessing the historical data, discovering configurations, observing trends and using that information to draft p future forecasts trends. These models use different type of algorithms to perform its own specific functions [12]. There are several studies used Machine Learning (ML) approach to forecast and predict student's academic performance in various levels [13–15]. However, these ML models focus on predicting the students' performance rather than overseeing, finding and analysing the trend of student's performance, level of self-motivation and identifying contributing factors that affect academic performance in order to ensure the student's readiness towards online learning.

The objectives of this paper are to (i) predict the academic performance of undergraduate students at one of the public universities in Malaysia by using Recurrent Forecasting-Singular Spectrum Analysis (RF-SSA) and Vector Forecasting-Singular Spectrum Analysis (VF-SSA) (ii) present the comparison between two forecasting algorithms. The forecasting models were applied to predict student's academic performance during online learning based on Grade Point Assessments (GPA). The findings from this study are expected to help the university in improving their online academic activities as well as

identifying academically-low student achievers in advance and consequently, providing them support.

2.Literature review

GPA is the most emphasized thing in most universities as it is an analytical measure that shows the academic performance of students regardless of the students' mastery in their studies.

There were many studies that had investigated the academic performance of students especially at the tertiary level around the world. Those studies were made for many specific reasons that are useful for the educational institution itself.

In [16], there was a total of 117 respondents from Masters in Computer Application program consisting of 63 male students and 54 female students. The data taken from each respondent was their actual university examination result, and the number of subjects taken in the first and second semester purposing on identifying the factors affecting the students academically and formulating a new teaching pedagogy by using a k-means clustering method.

The work presented in [17] shows clearer objectives which is to predict the performance of students at the end of their 4-year studies with a reasonable accuracy by using only their High School Certificate marks and identifying the courses in the first and second years that affects the students' performance at the end of their studies. The students were classified into five classes of graduation marks which are A (90%–100%), B (80%–89%), C (70%–79%), D (60%–69%), and E (50–59%). As a result, the instructors of certain courses with potential dropout students should implement some policy and give more academic assistance for those who need it.

In contrasts with [18], the data that is related to academic performance was collected such as gender, entry qualification, CGPA, academic programme and cohort. Respondents were classified based on their entry qualification of STPM (19.6%), Matric (67.3), Diploma (12.6) and STAM (0.5%). It is necessary to investigate their academic performance based on their entry qualification to review the intake policies. The finding of the study shows that STPM's students perform better than students from matriculation, diploma or STAM.

There were almost no studies involving the SSA model in predicting student academic performance

and that makes it a solid reason to conduct this study. Although the SSA is widely used in many fields, it is necessary to give a review on applying SSA in the educational field.

3. Methodology

3.1 Data collection

The data set used in this study were the GPA results for UPSI undergraduate students from the Faculty of Science & Mathematics consist of six undergraduate programs which are Bachelor of Education in Physics, Biology, Chemistry, Science, Mathematics and Bachelor of Science (Mathematics) with Education. The data were collected by using questionnaires that were distributed to them via online platforms. Total respondent of 228 students were varied from 3rd Semester to 7th Semester whereby, 82.5% respondents was female and 17.5% was male. Overall, there were 72.5% of respondents are from Department of Mathematics, 12.2% from Department of Chemistry, while 5.7%, 6.6% and 3.1% of respondents were from Department of Biology, Department of Science and Department of Physics, respectively.

The original data of GPA students' performance are shown in *Figure 1*. The increase of daily COVID-19 cases forced students to undergo academic activities through their home using online platforms. The blue

line indicates the GPA of the semester that student undergoing offline lectures which is face-to-face while the orange line indicates the GPA obtained by students for the next semester which they undergo an online learning. As observed, the lines showing that the GPA obtained by students are mostly increasing from the first academic session to the second academic session. Although some students are dropping, this data can be useful for lecturers to identify and finding ways to assist them in academic. By this data, we can see that the increasing of numbers of students that obtained 4.00 pointer regarding online learning.

The SSA is a model-free approach that can be applied to all types of data, regardless of Gaussian or non-Gaussian, linear or nonlinear, and stationary or non-stationary [19]. The student GPA can be decomposed into several additive components via SSA, which could be defined in the forms of trend, seasonal, and noise components [20]. The possible application areas of SSA are diverse [21–23]. The SSA is composed of two complementary stages, known as the stages of decomposition and reconstruction [24]. In this research, the prediction was conducted by using a free software which is R software, version 4.0.3, under the RSSA package.

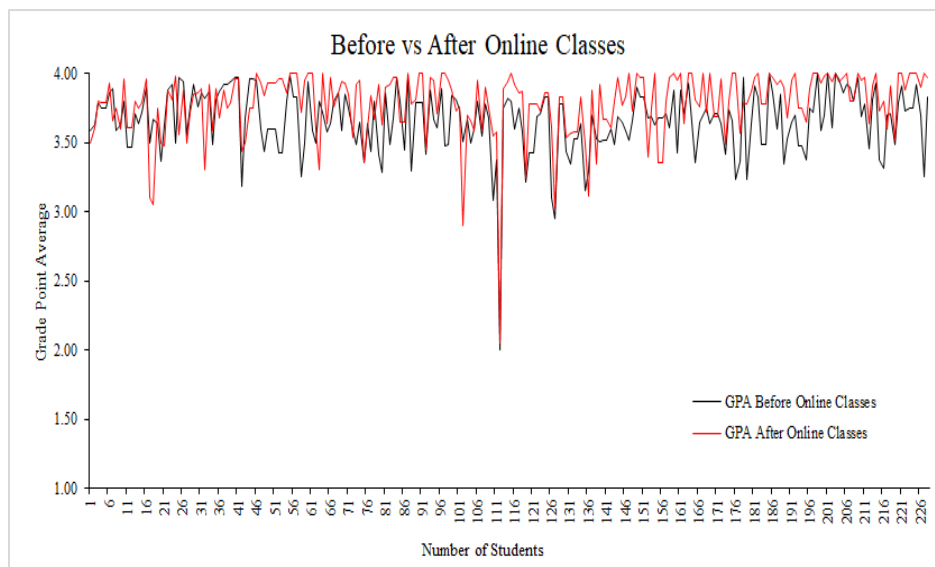


Figure 1 GPA plot before and after online classes for of UPSIs' students

3.2SSA: decomposition stage

The decomposition stage deviates to two: embedding and SVD. In this stage, the series are decomposed for the attaining of eigen time series data.

Step I: Embedding.

For basic SSA algorithm, the initial step is embedding, which refers to constructing a one-dimensional series i.e., univariate vector, $\mathbb{Y}_T = \{y_1, y_2, \dots, y_T\}$ to a multidimensional series contained in a matrix, $\mathbf{X} = (X_1, \dots, X_K)$, known as the trajectory matrix (see Equation (1)). The rows and columns of $\mathbf{X}$ represent the subseries of the initial one-dimensional time series data. The dimension of the trajectory matrix is known as window length, L , which ranges at $2 \leq L \leq T/2$. Columns $X_1, \dots, X_K$ of the trajectory matrix, $\mathbf{X}$, are called lagged vectors, $K = T - L + 1$.

$$\mathbf{X} = (X_1, \dots, X_K) = \begin{pmatrix} y_1 & y_2 & y_3 & \dots & y_K \\ y_2 & y_3 & y_4 & \dots & y_{K+1} \\ y_3 & y_4 & y_5 & \dots & y_{K+2} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ y_L & y_{L+1} & y_{L+2} & \dots & y_T \end{pmatrix} \quad (1)$$

Step II: Singular value decomposition (SVD).

Secondly, for Step I, the trajectory matrix in is decomposed to obtain the eigen time series formed on their singular values using SVD. The following represents the SVD of the trajectory matrix, $\mathbf{X}$:

$$\mathbf{X} = U^T \Sigma V \quad (2)$$

where $U = (u_1, \dots, u_L)$ is $L \times L$ orthogonal matrix, $V = (v_1, \dots, v_K)$ is $K \times K$ orthogonal matrix, and Σ is $L \times K$ diagonal matrix with non-negative real diagonal entries $\Sigma_{ii} = \sigma_i$ for $i = 1, \dots, L$. Vectors u_i and v_i are left and right singular vectors, respectively, whereas σ_i refers to singular values. Let $\mathbf{S} = \mathbf{X}\mathbf{X}^T$ where singular values are descendingly arranged which is $(\sigma_1 \geq \sigma_2 \geq \dots \geq \sigma_L)$. Let $d = \max\{i, \text{such that } \sigma_i > 0\}$. $V_i = X^T U_i / \sqrt{\sigma_i}$ ($i = 1, \dots, d$), and the SVD of trajectory matrix, $\mathbf{X}$, is expressed as follows:

$$\mathbf{X} = \mathbf{X}_1 + \dots + \mathbf{X}_d \quad (3)$$

where $\mathbf{X}_i = \sigma_i \mu_i v_i^T$. Matrices of $\mathbf{X}_i$ are termed as 'elementary matrices', if $\mathbf{X}_1$ has the first rank. Meanwhile, collection (σ_i, u_i, v_i) is the i th eigentriple of SVD.

3.3SSA: reconstruction stage

Grouping and diagonal averaging are the two steps in the reconstruction phase. Here, the original series are reconstructed for future study, including forecasting.

Step I: Grouping.

Here, the trajectory matrix is divided into two - trend and noise component groups. The set of indices $\{1, \dots, L\}$ is divided into m disjoint subsets; $I_1, \dots, I_m$, based on the division of elementary matrices into groups of m . Upon setting $I = \{i_1, \dots, i_p\}$, the resultant matrix, $\mathbf{X}_I$, is as follows:

$$\mathbf{X}_I = \mathbf{X}_{i_1} + \dots + \mathbf{X}_{i_p} \quad (4)$$

The retrieved matrices are calculated for $I = I_1, \dots, I_m$ and applied in Equation (4). The following presents the expansion:

$$\mathbf{X} = \mathbf{X}_{I_1} + \dots + \mathbf{X}_{I_m} \quad (5)$$

where trajectory matrix refers to the total of m resultant matrices. The chosen set of $I = I_1, \dots, I_m$ represents eigentriple grouping.

Step II: Diagonal averaging.

finally, SSA refers to the transformation of each matrix in the grouped decomposition (5) into new series of length, T .

Let $\mathbf{Z}$ be $L \times K$ matrix with z_{ij} , $1 \leq i \leq L$ elements, $1 \leq j \leq K$. Set $L^* = \min(L, K)$, $K^* = \max(L, K)$, and $N = L + K - 1$. Let $z_{ij}^* = z_{ij}$ if $L < K$ and $z_{ij}^* = z_{ji}$ otherwise. With diagonal averaging, matrix $\mathbf{Z}$ is transferred into $z_1, \dots, z_T$ based on the following formula:

$$z_k \begin{cases} \frac{1}{k} \sum_{m=1}^k z_{m, k-m+1}^* & 1 \leq k < L^* \\ \frac{1}{L^*} \sum_{m=1}^{L^*} z_{m, k-m+1}^* & L^* \leq k \leq K^* \\ \frac{1}{T-k+1} \sum_{m=k-K^*+1}^{T-K^*+1} z_{m, k-m+1}^* & K^* < k \leq N \end{cases} \quad (6)$$

Upon employing the diagonal averaging in Equation (6) to the resultant matrix, $\mathbf{X}_{I_k}$, reconstructed series of $\hat{\mathbb{Y}}_T^{(k)} = (\hat{y}_1^{(k)}, \dots, \hat{y}_T^{(k)})$ is produced. The initial series of $\mathbb{Y}_T = \{y_1, y_2, \dots, y_T\}$ is decomposed into the total of m reconstructed series, $y_t = \sum_{k=1}^m \hat{y}_t^{(k)}$. The reconstructed series generated by elementary grouping refers to 'elementary reconstructed series'.

A key concept when studying SSA is separability that signifies the ways of the varied components of time series may be differentiated to enable future studies. When working with SSA method in numerous study fields, separability becomes a vital mean [25]. The separability impact can result in appropriate decomposition and component extraction. The W-correlation technique measures the separability between two distinct components of the reconstructed time series.

The W-correlation reflects the weighted correlation among components of reconstructed time series that offers highly useful information to both separate and identify groups for the reconstructed components [26]. The elements of the time series terms are indicated by the weights into trajectory matrix. This ranges between 0 and 1, whereby components that are well separated slant towards 0, whereas slant towards 1 for otherwise. The W-correlation matrix looks into grouped decomposition among the reconstructed components. The matrix formulation of W-correlation is constructed as:

$$\rho_{12}^w = \frac{\langle X^{(1)}, X^{(2)} \rangle_w}{\|X^{(1)}\|_w \|X^{(2)}\|_w} \quad (7)$$

where,

$$\|X^{(i)}\|_w = \sqrt{\langle X^{(i)}, X^{(i)} \rangle_w}, i = 1, 2, \langle X^{(1)}, X^{(2)} \rangle_w = \sum_{i=0}^{N-1} w_i x_i^{(1)} w_i^{(2)},$$

and weights w_i are defined below:

Let $L^* = \min(L, K)$ and $K^* = \max(L, K)$. As a result,

$$w_i = \begin{cases} i + 1 & \text{for } 0 \leq i \leq L^* - 1, \\ L^* & \text{for } L^* \leq i \leq K^*, \\ T - i & \text{for } K^* \leq i \leq T - 1. \end{cases} \quad (8)$$

The graphic illustration of W-correlation is composed of white-black scale, whereby white represents correlation that is small, whereas black denotes correlation between the series components near to value 1

3.4SSA: forecasting stage

Recurrent Forecasting Algorithm

To perform SSA forecasting, the time series should satisfy the linear recurrent formula (LRF). Time series $Y_T = (y_1, \dots, y_T)$ satisfy the LRF of order d if:

$$y_t = a_1 y_{t-1} + a_2 y_{t-2} + \dots + a_d y_{t-d}, \quad t = d + 1, \dots, T \quad (9)$$

In this study, RF-SSA was used for forecasting purpose because it is a popular approach to predict data [27, 28]. The algorithms described below are detailed in [29]. Let us assume that U_j^∇ is the vector of the initial $L - 1$ components of eigenvector U_j , while π_j represents the final component of U_j ($j = 1, \dots, r$). Denoting $v^2 = \sum_{j=1}^r \pi_j^2$, coefficient vector $\Re$ is defined as follows:

$$\Re = \frac{1}{1-v^2} \sum_{j=1}^r \pi_j U_j^\nabla \quad (10)$$

Upon considering the prior notation, the forecast of RF-SSA ($\hat{y}_{T+1}, \dots, \hat{y}_{T+M}$) can be attained by

$$\hat{y}_i = \begin{cases} \tilde{y}_i, & i = 1, \dots, T \\ \Re^T Z_i, & i = T + 1, \dots, T + M \end{cases} \quad (11)$$

where, $Z_i = [\hat{y}_{i-L+1}, \dots, \hat{y}_{i-1}]^T$ and $\tilde{y}_1, \dots, \tilde{y}_T$, represent the values of reconstructed time series that could be retrieved from the previously presented 4th step.

Vector Forecasting Algorithm

For VF-SSA, the matrix below is considered:

$$\Pi = \mathbf{V}^\nabla (\mathbf{V}^\nabla)^T + (1 - v^2) A A^T \quad (12)$$

where $\mathbf{V}^\nabla = [U_1^\nabla, \dots, U_r^\nabla]$. After that, consider the linear operator:

$$\theta^{(v)}: \mathfrak{R}_r \mapsto \mathbf{R}^L, \quad (13)$$

Where,

$$\theta^{(v)} U = \begin{pmatrix} \Pi U^\nabla \\ A^T U^\nabla \end{pmatrix} \quad (14)$$

Then, the forecast of VF-SSA can be attained by

$$Z_i = \begin{cases} \bar{X}_i & \text{for } i = 1, \dots, K \\ \theta^{(v)} Z_{i-1} & \text{for } i = K + 1, \dots, K + h + L - 1 \end{cases} \quad (15)$$

The detail description for VF-SSA can be found in [30].

4.Results

4.1Decomposition and reconstruction

Figure 2 showed the 11 eigenvectors plot obtained from decomposition stage. These plots were then were grouped following its characteristics either noise, trend, or seasonal components. The component of trend was identified from eigenvector plot, in which seasonal and trend components have sine waves indicated by the slow cycles found in the graph (high frequency). Meanwhile, the component of noise was represented by the saw-tooth found in the graph (low frequency). The leading eigenvector has nearly continual coordinates, thus corresponding to a pure smoothing by Bartlett filter [31, 32].

Once the components have been identified, the next process was to reconstruct the eigentriples. The reconstruction result by each of the 11 eigentriples presented in Figure 3. The two figures verified the compatibility of the first and second eigentriple with the trend, whereas the remaining eigentriples had the noise component, thus irrelevant to trend.

Figure 4 demonstrates the components of the reconstructed time series plot from the trend extricated via RF-SSA for the students' GPA. The reconstructed series is the new dataset derived from the original data, which is clear from noise. It is a crucial aspect in SSA to ensure that the forecasting results are precise and accurate [33]. The trend

component in the time series data was used to observe the occurrence of the trend and pattern, as it was randomly-tabulated as per daily cases.

In *Figures 4(a)*, the trend was precisely generated by a leading eigentriple, which coincided with the initial reconstructed component exhibited in *Figure 3*. In *Figure 4(b)* the illustration of the trend was precisely generated by both leading eigentriples, which coincided with the first and second reconstructed components shown in *Figure 7*. The dashed and straight lines on the plot denote the reconstructed series according to the trend component which was extricated from SSA and the GPA student's original time series data, respectively. The plot of reconstructed time series components, produced by both leading eigentriple, abides by the original GPA data although noise component was omitted for $L=11$ for GPA data at UPSI.

For proper identification of seasonal series components, the graph of eigenvalues and scatterplots of eigenvectors were applied. In order to determine the seasonal series components using eigenvalues plot, several steps were produced by approximately equal eigenvalues. *Figure 5* portrays the plot of the logarithms of the 11 singular values for the GPA student's data in UPSI. It clearly showed that no step produced by approximately equal eigenvalues that corresponded to a sine wave. The

scatterplot of eigenvectors displays the regular polygons yielded by a pair of eigenvectors to demonstrate that the series components have produced seasonality components. Based on *Figure 6*, no pair of eigenvectors produced regular polygons. This confirmed that the GPA results data in UPSI were not influenced by the seasonality since both figures did not have sine wave.

The graphs in *Figure 7* illustrate the heat-plot based on W-correlations using the SSA approach. For the reconstructed components, the heat-plot of W-correlation based on white-black scale ranges between 0 and 1 [34]. Huge correlation values among the reconstructed components exhibited the possibility of the components to form a group while corresponding to the same component. Importantly, in the extraction of trend, the correlations of trend and noise should be near to zero. At this point, the correlation with $L=11$ is small, 0.008 which is close to 0. As illustrated in *Figure 7*, every square shade stands for the strength W-correlation signifies two components. Subsequently, this denotes that the components of trends are still, to some extent, mixed with the noise and seasonal components in SSA and it was rectified by the window length, $L=11$, which is evidently demonstrated in *Figure 7* for better separability.

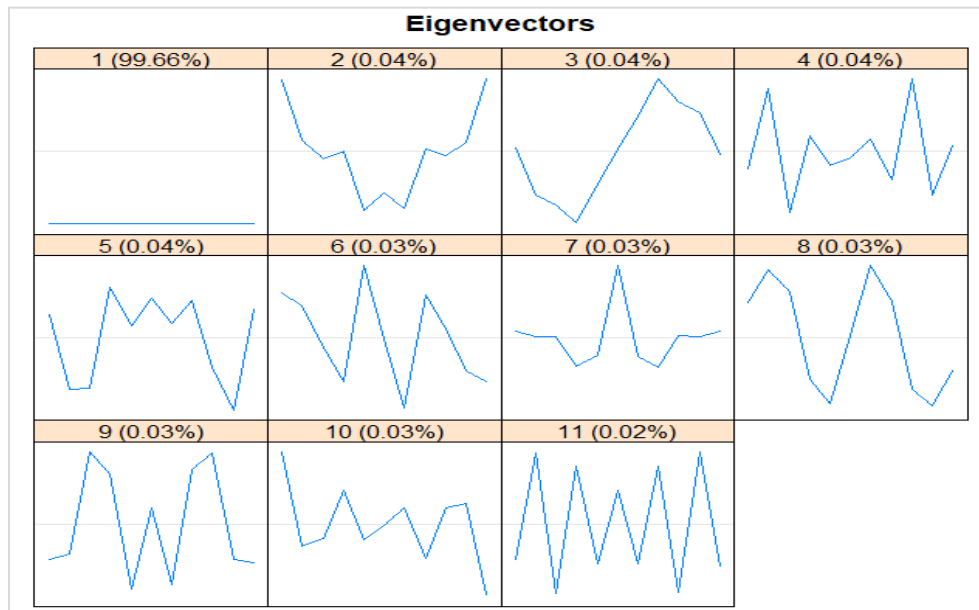


Figure 2 Eigenvectors plot using singular spectrum analysis

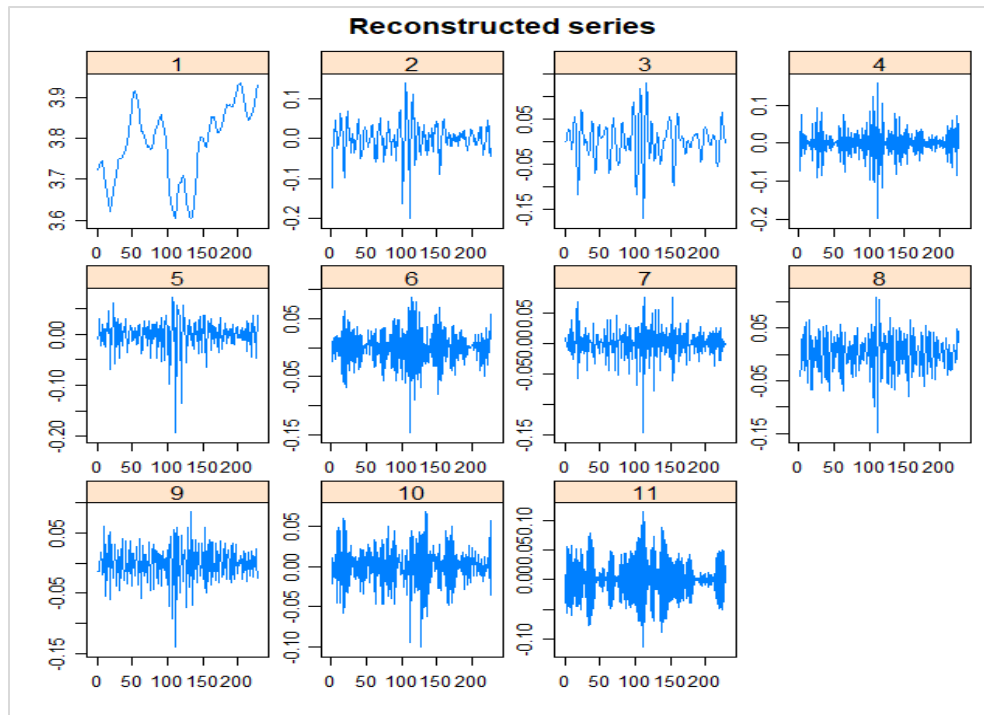


Figure 3 First stage: elementary reconstructed series ($L = 11$).

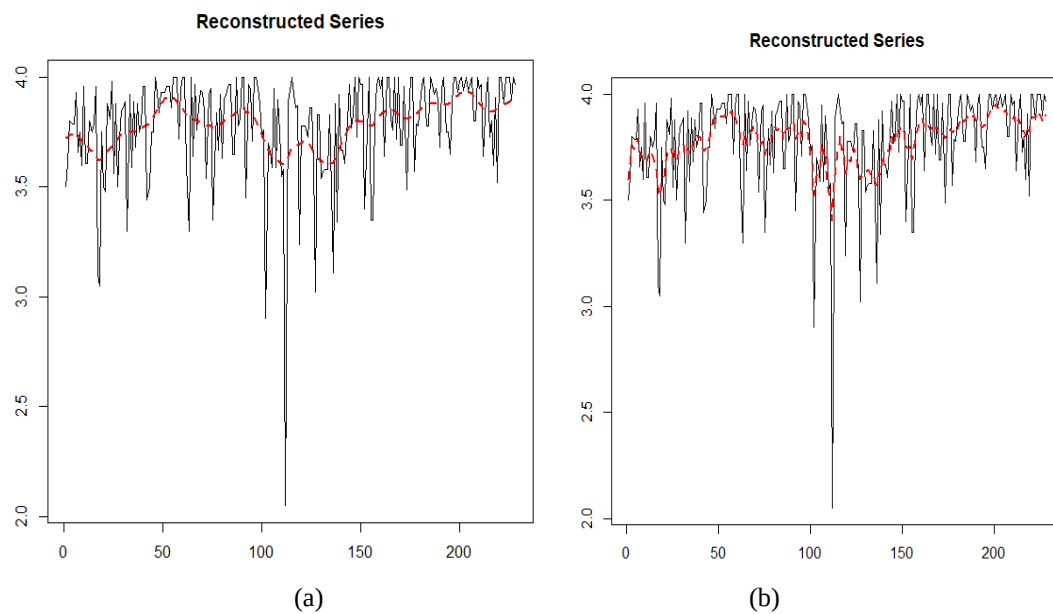


Figure 4 GPA student's performances of reconstructed components from extracted trends using SSA at $L=11$.

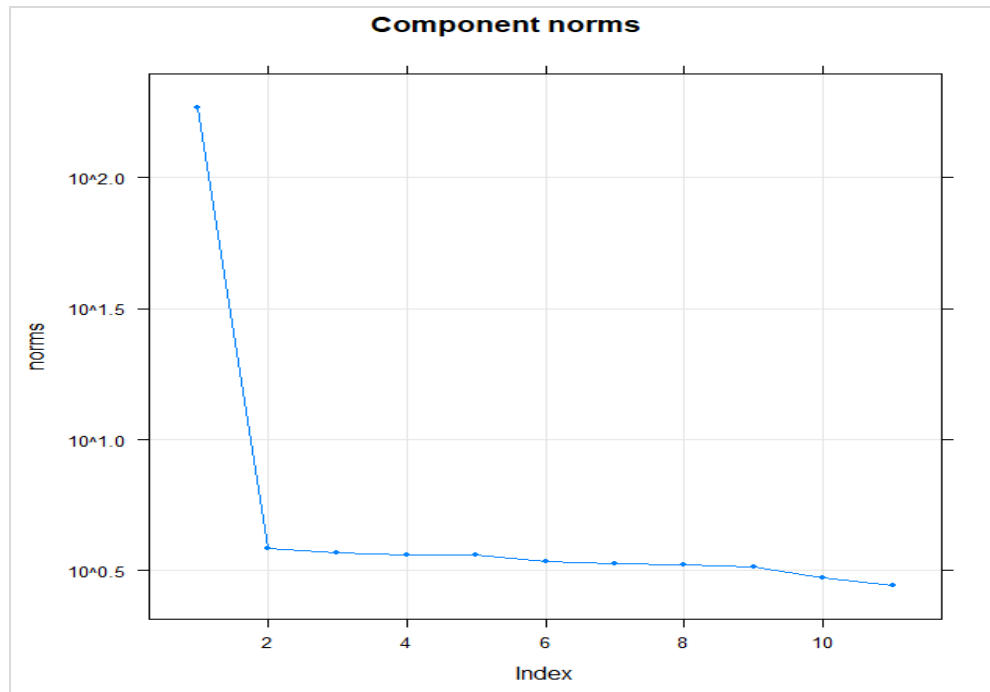


Figure 5 Logarithms of 11 eigenvalues

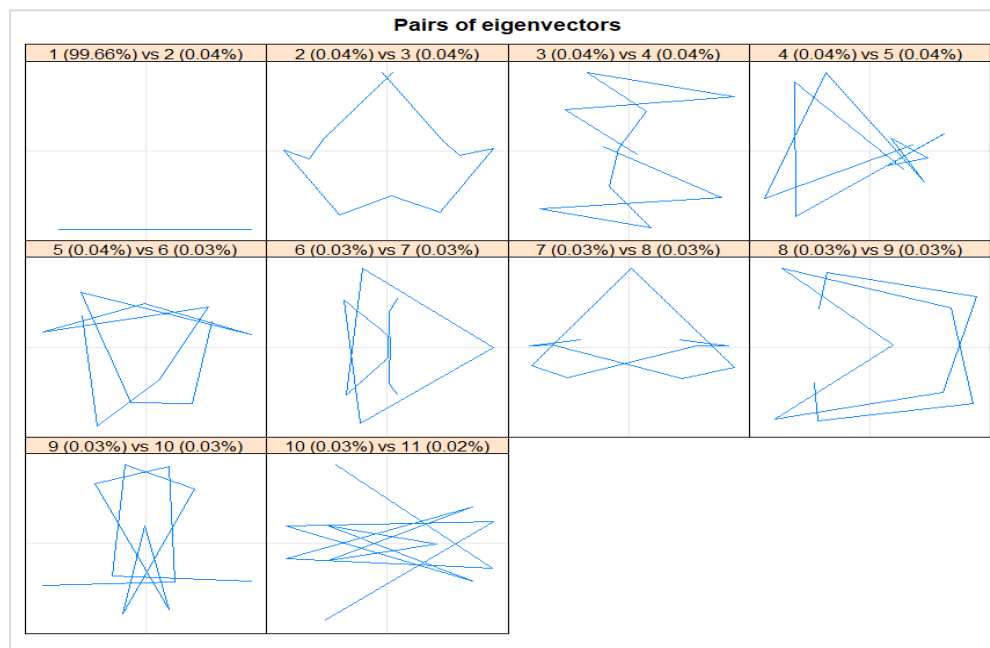


Figure 6 Plots of eigenvectors (EV) pairs for GPA student's data at UPSI

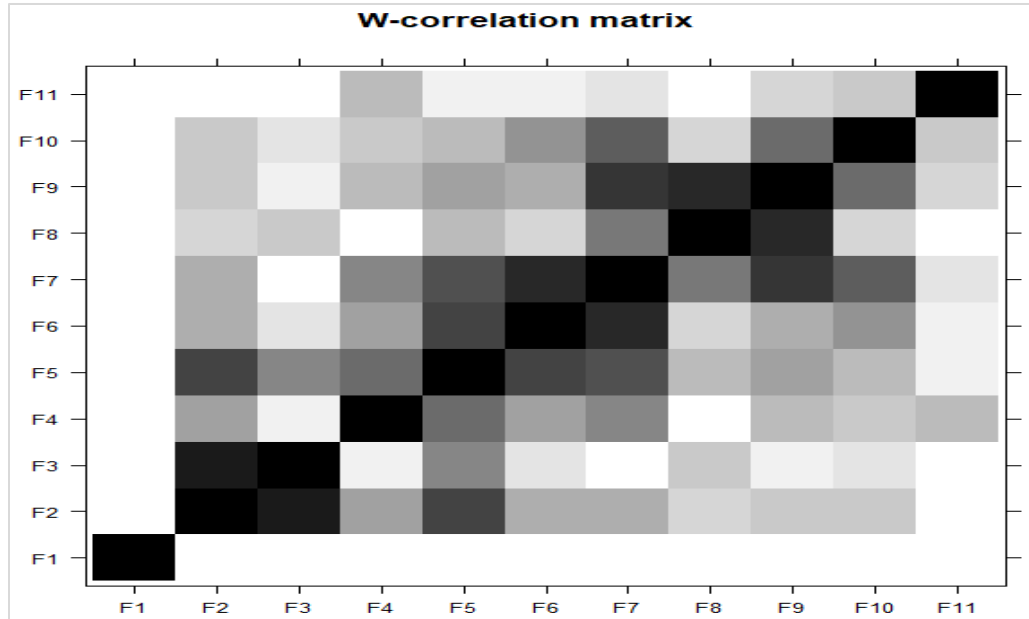


Figure 7 W-correlation plot using SSA with varied windows length, $L=11$

4.2 Experiments using RF-SSA and VF-SSA models

As mentioned in the previous section, the GPA data was first decomposed and reconstructed using SSA model. The next step in this study is to predict the future cases of GPA data in UPSI. In this stage, an SSA forecasting algorithm known as RF-SSA and VF-SSA were applied accordingly. *Table 1* presents the performances of SSA forecasting models using two different approaches.

The experimental results clearly showed RF-SSA model performed better than VF-SSA model with the lowest RMSE and the highest r values. Other than

that, the results also indicate RF-SSA model tend to slightly under-forecast the student's academic performance with an average absolute error of 0.14 units. This can be compared to VF-SSA model where the model has over-forecast by 0.23 with an average absolute error of 0.22 units. Upon details analysis, it is revealed that VF-SSA, unable to follows the variation in student's academic performance as compared to RF-SSA model. Furthermore, VF-SSA keep increasing its prediction values gradually, proving that the VF forecasting model is more suited to be used with data that has increasing and decreasing trend rather than data that has fluctuations.

Table 1 RF-SSA and VF-SSA prediction performance

SSA Forecasting Models	RMSE	MAE	r	MFE	Decision
Vector	0.30	0.22	0.21	-0.23	MFE < 0, model tends to over-forecast
Recurrent	0.19*	0.14*	0.51*	0.01*	MFE > 0, model tends to under-forecast

*denotes for the lower values

5. Discussion

5.1 Decomposition and reconstruction

Despite encouraging statistics and findings, it is undeniable that RF-SSA is unable to fully cater the variation in academic students' performance. This can be further proved by analysing *Figure 8*. The above figure shows that RF-SSA is unable to predict sudden drop or extreme value which existed in the dataset. This may be due to the fact that the dataset is

lacking with extreme data points, making the model unable to catch the underlying pattern. This finding is in agreement with previous researchers stating that RF-SSA model requires large dataset that has clear representation of all possible situations. The study deems that VF-SSA model is unsuitable for catching and predicting data full with variations. The study also found that the size of datasets plays an important role in providing good results for RF-SSA model and

the model is unable to cater an extreme value in the dataset.

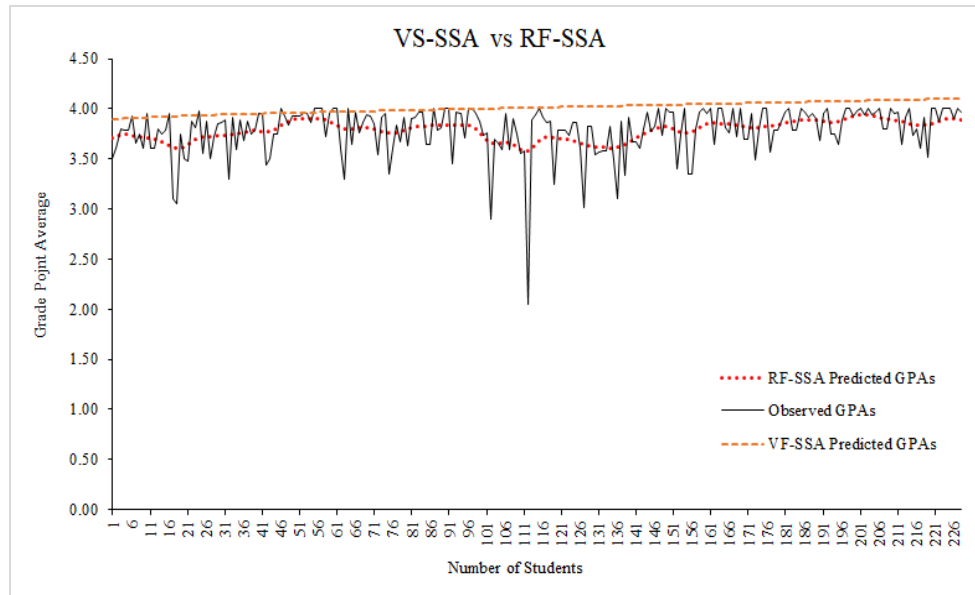


Figure 8 Predicted VF-SSA versus RF-SSA for GPA results for UPSI

5.2 Limitation of forecasting SSA model

Some limitations of this study, which should be emphasized when using the forecasting SSA model in assessing the student's academic performance, are as follows:

1. The forecasting SSA models work best when the data exhibit a stable or consistent pattern over time with a minimum amount of outlier. This can help to obtain accurate results for future predictive cases.
2. The forecasting SSA models are mainly used to project future values using historical time series data for short-term forecast.
3. Different observed behaviour of a dataset might influence the selection of window length.

6. Conclusion and future work

Overall, the findings in this study brings insight and guideline for lecturers and universities in finding better ways in improving online learning and teaching, preparing suitable online assessment platform, the effective deliver method and etc. It is suggested for future researchers to enhance the RF-SSA model so that the model is capable of overcoming its weakness in the current study.

It is also encouraged for future researchers to combine these two models with other prediction models to form a hybrid prediction model such as

Support Vector Machine, Random Forest Classifier, Linear Model, K-Means, and etc. By exploring various type of prediction models, researchers will be able to identify the accuracy, superiority and the weakness of each of these models and can determine which model is best to be used as a guide in predicting students' academic performance from various angles and make a proper conclusion from it.

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Conflicts of interest

The authors have no conflicts of interest to declare.

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Muhammad Fakhruddin Mohd Fuad is a student from Universiti Pendidikan Sultan Idris (UPSI) majoring in Bachelor of Science (Mathematics) with Education. Since UPSI has been conducting online learning since March 2020, he intends to predict the academic performance of his peers throughout online learning to help lecturers and educators improvised existing online learning and teaching methods.
Email: d080939@siswa.upsi.edu.my



Shazlyn Milleana Shaharudin as born in Johor Bahru, Malaysia, in 1988. She is a senior lecturer at the Department of Mathematics, Faculty of Science and Mathematics, Universiti Pendidikan Sultan Idris (UPSI). She graduated with a bachelor science degree in Industrial Mathematics and Doctor of Philosophy (Mathematics) from Universiti Teknologi Malaysia. During her PhD journey, she developed an interest in multivariate analysis, specifically in finding patterns which deals with big data. Her research focuses on the area of Dimension Reduction Methods specifically in Climate Informatics which involves analysis on huge climate-related datasets based on techniques in Data Mining. She had published her research in Scopus indexed journal and presented her work in various local and international conferences.
Email: shazlyn@fsmt.upsi.edu.my



Shuhaida Ismail is a lecturer at the Department of Mathematics and Statictics, Faculty of Applied Sciences and Technology, Universiti Tun Hussein Onn Malaysia (UTHM). She obtained her first degree in Computer Sciences majoring from UTM. She also obtained a Master degree and PhD from the same university. Throughout her studies, she developed an interest in Machine Learning research area, specifically in Predictive Modelling, Classification, and Clustering. Her current research areas are in Hydrological Modelling, Big Data Analytics and Deep Learning.
Email: shuhaida@uthm.edu.my



Nor Ain Maisarah Samsudin is a student from Universiti Pendidikan Sultan Idris (UPSI) majoring in Bachelor of Science (Mathematics) with Education. Since UPSI has been conducting online learning since March 2020, she intends to predict the academic performance of her peers throughout online learning to help educators improvised existing online learning and teaching methods.
Email: d080938@siswa.upsi.edu.my



Muhammad Fareezuan Zulfikri is a student from Universiti Pendidikan Sultan Idris (UPSI) majoring in Bachelor of Science (Mathematics) with Education. Since UPSI has been conducting online learning since March 2020, he intends to predicts the academic performance of his peers throughout online learning to help educators improvised existing online learning and teaching methods.
Email: d080937@siswa.upsi.edu.my